Editor’s Note: Proficiency and Achievement: What Is the Difference and Why Should We Care?
Elizabeth Gould

How Proficient is Proficient Enough?: A Look at Proficiency in ESL for Academic Success
Berardo, Marcellino

Language Proficiency and Assessing Classroom Achievement: A Literature Review
Sood, Parul

Language Proficiency and Academic Success
Hornbaker, Jennifer

Professional Growth Opportunities:
• Submitting to ILI@AEC
• Presenting at conferences
• Submitting to other journals

Proficiency and Achievement: What Is the Difference and Why Should We Care?
Professional Growth Opportunities

Submitting to ILI@AEC

ILI@AEC accepts the following types of submissions:

- Commentary/Opinion Essays
- Reflective Essays
- Review of (Text)Books, Articles, Policies, Best Practices in…
- Research Papers
- Research Agendas
- Action Research
- Materials Developed by Instructor
- Lesson Plans
- Teaching Strategies
- Proposals for Presentations and Workshops
- Commentaries/Analyses of…
- Descriptions of Classroom Practice
- Tests and other Assessments
- Descriptions of How Technology is Used in Class
- Statement on CALL at the AEC

If you are interested in contributing to ILI@AEC, e-mail your submission to: mberardo@ku.edu.

Presenting at Conferences

- TESOL Annual Conference: [http://www.tesol.org/convention2013](http://www.tesol.org/convention2013); held in March (around the 3rd week).
- MIDTESOL Annual Conference: [http://www.midtesol.org/midtesol](http://www.midtesol.org/midtesol); held in October (3rd or 4th week).
- NAFSA Annual Conference: [http://www.nafsa.org/Attend_Events/Annual_Conference/](http://www.nafsa.org/Attend_Events/Annual_Conference/); held last week in May.
- NAFSA Region II Conference: [http://www.nafsa.org/Connect_and_Network/Engage_with_a_Community/NAFSA_Regions/Region_II/](http://www.nafsa.org/Connect_and_Network/Engage_with_a_Community/NAFSA_Regions/Region_II/); held in October (3rd week).
- ACTFL Annual Conference: [http://www.actfl.org/convention-expo](http://www.actfl.org/convention-expo); held in November (3rd or 4th week).
- The Center for Advanced Research on Language Acquisition (CARLA); Source for workshops, presentations, and conferences on language teaching and learning: [http://www.carla.umn.edu](http://www.carla.umn.edu)

Submitting to Other Journals

- TESOL Interest Section Newsletters: [http://www.tesol.org/read-and-publish](http://www.tesol.org/read-and-publish)
- MIDTESOL Matters: [http://www.midtesol.org/midtesol](http://www.midtesol.org/midtesol)
- NAFSA International Educator Magazine: [http://www.nafsa.org/Learn_About_NAFSA/Staff_Directory/Feedback_Forms/Pubs/Write_for_NAFSA/](http://www.nafsa.org/Learn_About_NAFSA/Staff_Directory/Feedback_Forms/Pubs/Write_for_NAFSA/)
- The Language Educator: [http://www.actfl.org/publications/all](http://www.actfl.org/publications/all)
- Foreign Language Annals: [http://www.actfl.org/publications/all](http://www.actfl.org/publications/all)
- Language Teaching Research: [http://ltr.sagepub.com/](http://ltr.sagepub.com/)
- Chronicle of Higher Education: [http://chronicle.com/section/Home/5](http://chronicle.com/section/Home/5)
- Bilingual Research Journal: [http://www.tandfonline.com/toc/ubrj20/current](http://www.tandfonline.com/toc/ubrj20/current)
Editor’s Note: Proficiency and Achievement: What Is the Difference and Why Should We Care?
Elizabeth Gould

Over the last year or so, there has been quite a bit of activity on TESOL interest section discussion boards about the difference between proficiency and achievement and how these two concepts affect assessment practices in intensive English programs. The renewed interest first came to my attention last February after completing the AEC’s 5-Year Review for CEA. One of CEA’s standards requires students to be moved through a program of study “using instruments that appropriately assess the achievement of student learning outcomes for courses taken within the curriculum” (CEA Accreditation Manual, p. 41). This standard puts CEA squarely on the side of progressing students through a program on the basis of achievement while the AEC currently progresses students through our program mostly on the basis of proficiency.

This divergence prompted the necessity for a look at current literature related to this topic and so Mark Algren asked me to come up with some research questions that we could investigate over the course of a semester. The goal of this research was to give us a better understanding of what the literature says on this topic and to help guide our discussions as we begin to review, revise and develop our curriculum and assessment practices. Parul Sood and Jennifer Hornbaker were given these two heady research questions:

- Hornbaker: What is the relationship between language proficiency and student readiness to enter a program of study?
- Sood: What is the relationship between proficiency and achievement and what are the advantages and disadvantages of each as predictors of student readiness to enter their program of study?

In this Special Issue of ILI, you will read the results of Sood’s and Hornbaker’s semester-long efforts. Their reviews of the literature succinctly summarize key points in the research and clearly point out issues involved in measuring proficiency and tying proficiency to academic success. In this issue, Marcellino Berardo also attempts to characterize what is meant by “proficient enough” to achieve academic success.

To be clear, this issue of ILI in no way represents an official AEC position on proficiency versus achievement, nor does it indicate any shift in policy. Rather, these articles are meant to inform our discussion as we review our current curriculum and assessment practices. I hope you enjoy learning about this topic as much as I did!
Introduction

We teach English to international students for the purpose of academic achievement at the University of Kansas. The assumption is that students cannot be successful in university classes without the ability to use academic English well. This is most obvious at the lower levels. If the student cannot understand any spoken or written English, then s/he cannot take notes, read textbook chapters, write papers, take exams, or participate in class discussion. This assumption also implies that as the student’s English improves, proficiency will become less of a factor in academic success.

This essay is intended to help us discuss assumptions associated with language proficiency and academic success and to identify how well students need to be able to use English in order to fulfill the university’s ESL requirement and be successful in their chosen academic program, at least as far as language is concerned. To this end, I begin by characterizing different kinds of proficiency. Then I highlight the literature reviews in this issue, one by Jennifer Hornbaker and one by Parul Sood, that bring up issues related to using proficiency tests as the sole indicators of linguistic readiness for academic success at the university.

Five Characterizations of “Proficient Enough”

Each university Intensive English Program (IEP) needs an explicit statement to characterize the kind of proficiency in academic English international students need to demonstrate in order to fulfill the university’s ESL requirement and study in a particular discipline and/or take General Education courses. The AEC has a highly detailed account of what we mean by “proficient enough” to fulfill the university’s ESL requirement. The details are in the goals, objectives, and outcomes for our fifth level class and our Special Studies classes. If students pass these classes with an A or A-, they are considered proficient enough to fulfill the university’s ESL requirement and take General Education courses, which are courses in KU’s Core Curriculum. Of course, a score of 160 on our proficiency test is another indication of proficient enough.

Although the AEC has highly detailed accounts of proficiency, we have no short, clear, general statement, guideline, or rule-of-thumb for what we mean by the kind of proficiency our students need to demonstrate in order to be “proficient enough” to fulfill the university’s language requirement. Such a general statement would be a practical characterization of proficiency that can guide our instruction and curriculum design, as well as offer our students a summary of what we mean by proficient enough. Below I offer and evaluate five general characterizations of proficient enough in English for academic purposes. All five characterizations are possible but not equally practical or relevant. I consider even the “less desirable” characterizations of proficiency because as our profession changes, these kinds of proficiency may become more relevant to us in the future.

Five Characterizations of ‘Proficient Enough’:

Each of the following characterizations of proficiency is intended as a description of one kind of proficiency we can aim for. The AEC is primarily interested in Characterization 1, although elements of other types of proficiency are present in parts of our curriculum, instruction, teacher beliefs, and mission statement.

Characterization 1: English language ability no longer prevents the student from achieving academic success in general education courses, where success is defined as a GPA of roughly 2.0 or higher.
This kind of proficiency is what the AEC does and should focus on but it is only minimally acceptable. It is acceptable because students will have the English abilities necessary to pass any class at the university, but it is minimal in the sense that students may have to struggle excessively with reading/writing skills, vocabulary, grammar, etc. to pass classes. At this level of proficiency, the student may be academically far above average but his/her language ability may prevent him/her from demonstrating full knowledge of subject matter in courses in KU’s Core Curriculum. If a student leaves our program with this kind of proficiency, s/he may struggle with course work in the Core Curriculum but should not fail out of KU or go on probation because of insufficient language ability.

Although minimally acceptable, this characterization of proficiency is the most relevant to our students since most are here to get a degree or study a subject other than English. This characterization is also most practical because it does not require as much instruction as it would take to bring the students to higher levels of proficiency. What the students perhaps lose in language instruction, they gain in the time and the cost it takes to study in the US.

**Characterization 2**: The student’s English is good enough for the student not to struggle “excessively” with class and homework assignments in KU’s Core Curriculum.

Putting aside the issue of a precise meaning of “excessively,” from the perspective of the AEC this characterization of proficiency may be more acceptable than Characterization 1 because students leave the Center with a linguistic ability to acquire subject matter and demonstrate their knowledge without having to spend an inordinate amount of time and effort on their studies due to English language ability. To achieve this level of academic English, however, it would require higher exit standards than Characterization 1 and correspondingly more instruction. For this reason Characterization 2 is less practical from the students’ perspective in the sense that it takes more time, effort, and financial resources to achieve this level of academic English.

**Characterization 3**: In addition to English proficiency, the student demonstrates non-linguistic abilities such as appropriate study habits, time management skills, and the cultural understanding necessary to navigate the university.

Proficiency Characterization 3 goes beyond language teaching to include non-linguistic strategies for academic success. With the shift of focus from language to general strategies for academic success, we expand our instruction to include tasks that are not evaluated primarily on language ability. Since we are interested in academic success, it is fair to ask how much of our instruction should include tasks that are not primarily evaluated on language ability but do indicate a measure of academic success.

In my view, as language professionals, we should be primarily concerned with the role language plays in academic success and less concerned about the role non-linguistic factors play in academic success. We should focus narrowly on language’s role in academia. In particular, we should help our students discover how English-speaking students and scholars use English to discuss and write about, advance, apply, and teach their disciplines. A narrow focus on language is necessary because we are trained in language teaching/learning and not in general university support services. Moreover, our students have limited time and financial resources to acquire enough of the right kind of English to successfully read textbooks, write papers or essays, answer test questions, take notes from lectures, and participate in class discussions.

**Characterization 4**: The student demonstrates a proficiency that is equivalent to the accepted Internet-based TOEFL scores or IELTS scores.
For an AEC student to demonstrate a proficiency that is equivalent to the accepted Internet-based TOEFL scores or IELTS scores, we would have to design an in-house test that mirrors the questions, evaluation procedures, and standards of the TOEFL and/or IELTS. Such an in-house test would be expensive and labor intensive to create. Alternatively, we could ask students to take the actual TOEFL or IELTS after each semester. This would reduce the cost and effort that goes into creating a standardized test and also would reduce the time and effort it takes to grade proficiency tests at the end of the semester.

There is symmetry in having AEC students satisfy the university’s ESL requirement with the same test that incoming students use to fulfill the university’s ESL requirement. The symmetry is important because it can represent a single standard for acceptance based on English ability. Another kind of test allows for the appearance of a second standard for those students who did not score high enough on the TOEFL/IELTS before applying to the university.

Any apparent double standard, however, arises out of the inherent nature of university language instruction and corresponding assessment practices. University language instruction does not focus on helping students improve a test score or even on the specific kinds of proficiency that the test score is designed to measure. Language instruction at the AEC, as an example of university language pedagogy, has its own mission. The AEC is “committed to preparing our students linguistically, academically and culturally for university life, providing services to enhance their adjustment and achievement, and advocating for ESL and international students.”

Corresponding with our instruction, the AEC proficiency test measures the kind of proficiency that develops out of our instruction. It would be inappropriate to require AEC students to take a test that does not correlate with our instruction.

**Characterization 5:** The student demonstrates a proficiency that reflects an equivalent to a BA degree in applied English for academic purpose (AEAP).

This kind of proficiency may be more appealing to the language-oriented students, especially those who plan to major in English or teach EFL. Realistically, however, we have few students in our regular program who plan to become English majors or EFL teachers. This makes Characterization 5 most irrelevant to (almost) all of our student body. Although we have few students who would want this level of proficiency, we can still imagine such a degree. Because our profession continues to change, we cannot rule out this possibility for the future. For students who want to become EFL teachers and researchers, a BA degree in Applied English would be a solid launching point for further study in graduate programs in TESL/TEFL.

We can think of a BA in applied English for academic purposes as a blend of Proficiency Characterization 2 and added content courses in applied English linguistics and the discipline of English for academic purposes (EAP). Linguistic courses could center on applied English phonetics and phonology for pronunciation, applied morphology and syntax for grammar/vocabulary, and applied semantics and pragmatics for culture. Students might even take one course in the history of the English language for a historical perspective on the language and a survey English literature course. English literature would provide exposure to the artistic expression of the English language.

1 Currently to satisfy their ESL requirement, new incoming international students at the University of Kansas need scores of 23 or higher on the listening, reading, and writing section of the Internet-based TOEFL and scores of 6.0-6.5 or higher on the relevant sections of the IELTS. Students with lower scores will have to take ESL classes at the AEC.

2 Our complete mission statement is, “Our students are our highest priority. We are committed to preparing our students linguistically, academically and culturally for university life, providing services to enhance their adjustment and achievement, and advocating for ESL and international students” (http://www.aec.ku.edu/).
EAP as an academic discipline would also include courses on how English is used in academic settings. For an excellent study of academic English as it is used at universities see Biber (2006). For a theoretical perspective on EAP see Bruce (2011). Both books can be found in the AEC library.

**Predicting Academic Success from Language Proficiency: Literature Reviews by Hornbaker and Sood**

Determining the point at which language no longer prevents the student from academic success is no easy task. One problem is with the nature of proficiency tests, which are perhaps the most commonly accepted way to place students within an IEP and to determine a student’s linguistic readiness for General Education courses. Sood (in this issue) cites the research of Peregoy and Boyle (2005) who “argue that traditional language proficiency tests have limitations that can lead to inappropriate program placement. The limitations on standardized language proficiency tests are (1) the score is based on a single performance; (2) non-linguistic barriers such as unfamiliarity with the test format or procedures may interfere with the testing process; (3) test anxiety; and (4) different standardized language proficiency tests may give different levels for the same student” (p. 11). Sood also cites Stoynoff & Chapelle (2005) who “point out that the kind of placement tests used in English language programs at various universities do not have the qualities of authenticity and interactiveness that are thought to be the hallmark of communicative language testing” (p. 11).

To make matters more confusing, language ability and academic success may not be linearly related. Hornbaker (in this issue) identifies a “… pattern [in the research] suggest[ing] that there is a range of linguistic skill in which an increase in proficiency increases the chance of academic success. The academic performance of students above that range is not substantially affected by increased proficiency; likewise, the success of students below that range is not improved with increased proficiency until the lower limit of the linguistic threshold is crossed (Vinke & Jochems, 1993, p. 284). Determining the cutoff points for the proficiency-success connection is a job in and of itself. The task lends direction to future investigations, as the range may vary according to a number of factors, including academic discipline” (p. 27).

Research cited in Sood and Hornbaker suggests that our profession needs to continue to re-examine proficiency tests and the relationship between proficiency and academic success. In particular, there are inherent problems with measuring proficiency and interpreting the score as a predictor of academic success. The literature reviews by Hornbaker and Sood make this point. Their respective reviews of the literature should be considered by university IEPs around the country, including the AEC.

**Conclusion**

We operate on the general assumption that students cannot be successful in university classes without proficiency in academic English. At issue is the nature of proficiency in academic English and the relationship between proficiency and success in General Education courses. Each institution should arrive at the most appropriate and relevant way to characterize proficiency in academic English for its international students. I offer and evaluate five general ways to characterize proficiency relevant to the AEC.

Once we get past characterizing proficiency, we need to measure it and use the measurements to predict linguistic readiness for General Education courses. This is, in fact, the primary function of the AEC. Measuring the precise level of proficiency to determine linguistic readiness for undergraduate education is a difficult task. Hornbaker (in this issue) and Sood (in this issue) uncover the difficulty of the task and make an important contribution to understanding this central concern of all university IEPs.
References


Back to Table of Contents
Language Proficiency and Assessing Classroom Achievement: A Literature Review
Parul Sood

Introduction

The goal of placement tests in language programs is to divide students into homogenous groups for the purpose of class assignment. In most intensive English language programs such as the AEC, the most typical criterion for dividing students into classes is proficiency in the target language (English). Accurate and reliable placement tests are crucial to the successful functioning of any English language program. This literature review discusses the concept of language proficiency and ways to measure it.

Language Proficiency

In order to place students into groups by proficiency it is first necessary to determine the nature of proficiency itself. Unfortunately, this task has proven to be surprisingly difficult, and researchers are still divided. Some have claimed that proficiency is essentially undefinable; for instance, Vollmer writes, “language proficiency is what language proficiency tests measure” (Vollmer, 1981, p.152). This circular statement was all that could be firmly said when asked for a definition of language proficiency in 1981. Recent research has shed a little more light on the concept of proficiency. Let us therefore seek a clearer account of proficiency that can serve as a theoretical guide in the construction of our assessment measures.

Language proficiency is defined as the level of competence, at which an individual is able to use language for both basic communicative tasks and academic purposes (TESOL, 1997). Language proficiency tests capture a student's holistic ability in a language generally showing the extent to which a student has acquired a second language. It is used to ascertain a learner's global proficiency in the areas of listening, speaking, reading and writing. Teachers of English to Speakers of Other Languages, Inc. (TESOL) developed a number of general principles derived from current research and theory on language acquisition. One of them is that language proficiency, which is a measure of implicit language acquisition as well as explicit language learning, is a gradual process moving through developmental stages.

Language Proficiency as Communicative Competence

In the sixties, understandings of language proficiency were primarily defined in terms of grammatical knowledge. This conception was largely based on Chomsky's view of language. Chomsky (1965) defined language in terms of a dichotomy: 'performance' and 'competence'. Competence refers to the intuitive knowledge that an 'ideal speaker-listener' has of the linguistic system of his language including rules of syntax and vocabulary; and performance refers to the individual's ability to use the language. He believed that the actual language produced by an individual was so degenerate or imperfect due to a number of variables (e.g., pauses, false starts, omissions, repetitions) that the only way to study linguistic competence was to remove language from its real-life production.

In the 1980s, the concept of language proficiency was defined as communicative competence and researchers included the communicative dimension of language in models of language proficiency. Like Chomsky, Canale & Swain (1980) made a distinction between knowledge of use and a demonstration of this knowledge. Knowledge of use is often referred to as communicative competence, and the demonstration of this knowledge as performance. They came up with a model which included four areas that are encompassed in proficiency: grammatical competence, sociolinguistic competence, discourse competence, and strategic competence. Grammatical competence is knowledge and mastery of the linguistic code, including vocabulary use, pronunciation, spelling, morphological rules and syntactic rules. The second aspect of proficiency, sociolinguistic competence, is the use of appropriate language in a variety of social contexts, i.e., the ability to...
behave in a linguistically appropriate manner so as to achieve the desired results with the person or people being addressed. For instance, if a learner wants to go for a coffee break, s/he needs to know not only how to formulate a grammatical utterance, but also how to phrase the request so as to avoid offending the listener. The third aspect of proficiency, discourse competence, is the ability to choose meanings and forms that together create a unified and organized text or message. For instance, in order for a speaker to be able to express him/herself meaningfully in a phone conversation, s/he needs to master the use of greetings, introduction to a topic, and closure. The last aspect of proficiency is strategic competence, the ability to compensate for lack of second language knowledge, such as with the use of body language and gestures.

Language proficiency as it relates to communicative competence is also defined as the ability to get a message across. This ability includes social rules of language and use as well as knowledge of linguistics, socio-linguistics and discourse rules (Rivera, 1984). This means that the learner is able to use the language to receive and to respond to communication.

Social and Cognitive Academic Language Proficiency

A more holistic definition of proficiency, which increasingly guides language policies, research, and practice and, most recently, proficiency test development, is that proposed by Cummins (1981). Cummins (1981) distinguished between social language proficiency and academic language proficiency. Such skills may be observed through "visible language proficiencies of pronunciation, basic vocabulary, and grammar" (Cummins, 1981, p. 21). Academic language proficiency, or Cognitive Academic Language Proficiency (CALP), refers primarily to literacy related skills reflecting "students' ability to understand and express, in both oral and written modes, concepts and ideas that are relevant to success in school" (Cummins, 2008, p. 71). Interactive language corresponds to Cummins' Basic Interpersonal Communication BICS (Cummins, 1981). Basic interpersonal skills involve the ability to function in everyday communication between individuals; for example, being able to greet, apologize, go grocery shopping or clarify information.

Contextually, language proficiency can be expressed as "context-embedded" and "context-reduced" communication (Ovando & Collier, 1985). Context-embedded communication (e.g., greetings, making plans) is supported by meaningful paralinguistic and situational cues (e.g., gestures, intonation) in which the student can actively negotiate meaning. Context-reduced communication (e.g., listening to a lecture) is dependent upon one's knowledge of the language and requires a more active cognitive involvement. The degree towards which the student has automated context-embedded communication and is able to negotiate context-reduced communication affects the student's language proficiency level. Research findings suggest that ELLs may achieve social language proficiency within a period of up to three years of schooling in the United States, and academic language proficiency within a period of up to seven years (Cummins, 1981 as cited in Hakuta et al., 2000).

Today, most language tests are based at least on a theory of language proficiency. However, a lack of consensus as to the exact nature of language proficiency has led to numerous theories, and definitions of language proficiency. Therefore, the task of operationalizing these theories of language proficiencies into test questions is indeed daunting.

Assessing Classroom Achievement

**Discrete-point Vs. Integrative Tests**

Discrete-point tests are the traditional paper-pencil tests that are constructed on the assumption that language can be broken down into various components: the skills of listening, reading, speaking, and writing. Each item on a discrete-point test is intended to measure one linguistic element. Discrete-point tests have been met with
some criticism, particularly in light of more recent trends toward viewing language and its communicative nature and purpose and viewing language not merely as the arithmetic sum of all its parts. Oller (1979) argued against the notions of a language being segmented and tested in discrete points. Language testing needs to acknowledge second-language learning as comprised of many components and as communicative in nature. According to him, “language competence is a unified set of interacting abilities which cannot be separated apart and tested adequately” (Oller, 1979, p.37).

In response to discrete-point tests, Carroll (1961) introduced integrative tests (as cited in Oller, 1983). Examples of integrative tests are dictation, oral interviews, conversation, essays and other coherent writing tasks. As the term suggests, an integrative test requires a test-taker to utilize one or more language skills (e.g. listening, reading, speaking, and writing) and one or more levels of language (e.g. syntax, semantics, lexicon, phonetics, morphology, phonology, discourse) at the same time. Additionally, integrative tests are much more direct and a more authentic way to examine whether the test-takers respond within the target context (Smith, 1994). In an effort to compromise between the discrete-point and integrative procedures, Smith (1994) further proposes that a test might be integrative in task but discrete point in evaluation. More specifically, a student may write a letter of complaint-an integrative test task, but it can be evaluated on particular errors in grammar and vocabulary-a discrete point evaluation. He believes that a test like this is best used for diagnostic purposes. For the purpose of proficiency levels, however, integrative tests were recommended both in task and in evaluation.

Problems with Traditional Proficiency Tests

Peregoy and Boyle (2005) argue that traditional language proficiency tests have limitations that can lead to inappropriate program placement. The limitations on standardized language proficiency tests are (1) the score is based on a single performance; (2) non-linguistic barriers such as unfamiliarity with the test format or procedures may interfere with the testing process; (3) test anxiety; and (4) different standardized language proficiency tests may give different levels for the same student.

Stoynoff & Chapelle (2005) point out that the kind of placement tests used in English language programs at various universities do not have the qualities of authenticity and interactivities that are thought to be the hallmark of communicative language testing. For instance, there is no effort to keep topics consistent throughout the test taking experience; instead, the questions move from topic to topic in random fashion. In addition, Huerta-Macias (1995) remarks that the testing situation itself often produces anxiety within the student such that s/he is unable to think clearly. Huerta-Macias contends further that problems associated with traditional testing often mask what the student really knows, or in the case of ESL, what the student can do in his second language.

Although proficiency tests in general have shortcomings in terms of their authenticity and communicativeness, they do have the advantage of being relatively inexpensive, quick to take and easy to administer. Regardless of the difficulty for test designers to construct such tests, scoring them is relatively easy, fast, and objective (Brown & Hudson, 1998; Bailey, 1996). For this reason, they are still used as placement tests in many intensive English programs.

Farhady (1982) mentioned another alternative to testing in his works. According to him, one of the major problems with language programs is that none of them includes test taker characteristics as a potential dimension in language testing. He further argues that students with different educational backgrounds tend to perform differently on various language tasks. Therefore, Farhady suggested all language programs should do an initial detailed analysis of learner needs. Testing should be done in two phases; the first phase should assess the learners’ general English. After a satisfactory score on this test, learners take a test that is developed on the basis of careful analyses of learner needs. This test would assess a selective functional proficiency of the
learners in various academic areas. This way we can avoid learner variables interfering with the decisions made on the basis of the test scores.

Finally, the validity of the commonly used proficiency tests is questionable (Oller, 1979). It is common that an individual might have different levels of proficiency in different areas. For example, someone might have better command of grammar in reading than in speaking; however, vocabulary command in speaking might be greater than in writing. No studies or tests to date have developed a set of criteria for determination of proficiency covering several aspects of linguistic elements (Oller, 1979).

What Are the Alternatives?

There is a lack of literature available on whether we should use classroom achievement as a criterion for placement over a proficiency test. If we do not use the proficiency tests, what are then the alternatives? How can we assess a student's achievement in a second language in a valid and reliable way? Current trends in the assessment of student learning recommend that alternative forms of assessment rather than standardized tests alone should be used to evaluate students' progress. In recent years, as pointed out by Brown (2001), language educators have made efforts to develop these non-test assessment tasks to distinguish them from traditional formal assessments. Most often, such innovations are referred to as alternative assessment (Huerta-Macias, 1995). Though there is yet no single definition for it, a variety of labels have been employed to distinguish alternative assessment from traditional standardized testing. Garcia and Pearson (1994), Hamayan (1995) and Smith (1994) reviewed those labels and created a list: performance assessment, authentic assessment, portfolio assessment, informal assessment, situated (or contextualized) assessment, and assessment by exhibition. For these types of assessment, students are required to "accomplish approximations of real-life, authentic tasks, usually using the productive skills of speaking or writing but also using reading or writing or combining skills" (Brown & Hudson, 1998, p. 662). The characteristics of performance assessments, by definition, lie in the fact that they are "direct, authentic, and highly contextualized" (Bailey, 1996, p. 215).

Alternative assessment can take many forms that can be adapted to varying situations. Examples include portfolios, journals, reading logs, conferences, videos of role plays, storytelling, oral interviews, self and peer evaluation questionnaires, work samples, and teacher observations or anecdotal records (Hamayan, 1995). Proponents of alternative assessment insist that indeed the method is valid and reliable, in as much as it has credibility (i.e. truth value) and auditability (i.e. Consistency) (Huerta-Macias, 1995). They also claim that alternative assessment is different from traditional testing in that it actually asks students to show what they know and can do. Students are evaluated on what they integrate and produce rather than on what they are able to recall and reproduce. The main goal of alternative assessment is to "gather evidence about how students are approaching, processing, and completing real-life tasks in a particular domain" (Garcia and Pearson, 1994). Hancock (1994) states that through the use of alternative assessments language programs will become more responsive to the differing learning styles of students and value diversity.

Alternative assessment procedures are nonintrusive to the classroom because they do not require a separate block of time to implement them, as do traditional tests. Moreover, the same day-to-day activities that a student is engaged in (e.g. writing, role playing, group discussion) are the basis for alternative assessment. Thus, there is little or no change required in classroom routines to implement them. Because alternative assessment is based on the daily classroom activities, it also reflects the curriculum. Because the data collected are based on real life tasks, furthermore, alternative assessment provides information on the strengths as well as the weaknesses of a student (Huerta-Macias, 1995).

Lewis-White (1998) conducted a study that investigated the use of alternative assessments and traditional tests for the purpose of placement. The results indicated that alternative assessments provided fuller more detailed
information while traditional testing was considered vague and yielded insufficient information upon which to base an instructional decision. In addition, The Arlington Education and Employment Program (REEP) conducted a two-year project to research, develop, field test and establish recommendations for alternative assessment for adult students. The research found that the alternative assessment process was helpful for teacher development and it enabled teachers to substantiate promotion and retention decisions. REEP used alternative assessment methods to determine language proficiency and to make decisions concerning placement.

In recent years, some ESL experts have emphasized the importance of a different type of assessment: self-assessment, where students are provided opportunities before and after units of instruction to assess their own performance. Pierce, Swain & Hart (1993) reported a study of five hundred 8th graders that suggested that self-assessment was a valid and reliable measure of language proficiency. To facilitate autonomy among university ESL students in this learning process, McNamara & Deane (1995) have designed a variety of activities that foster self-assessment. These activities engage the students in routine and ongoing self-assessment so that they develop a critical awareness of their language learning process (McNamara & Deane, 1995).

As alternative assessment is implemented in the classroom settings, it is worth discussing how teachers can best make rational choices among the available options and what these options mean in terms of mainstream communicative language testing at the levels of both theory and practice (Brown & Hudson, 1998; Brown, 2001). Determining how effective such classroom-based teacher assessment practices are may involve the incorporation of positive washback, feedback, and learner autonomy, each of which is addressed briefly.

Washback is generally defined as the influence of testing on teaching and learning (Bailey, 1996). According to Brown and Hudson (1998), the impact of washback on student learning can be either negative or positive. Emphasis on promoting positive washback is one main difference between traditional language proficiency tests, with a primary focus on linguistic competence, and communicative language tests, which attempt to measure the broader construct of communicative competence. Communicative language tests, in contrast to traditional proficiency tests promote positive washback. Altogether, such testing tends to have dramatic washback both in terms of curriculum innovations and student intrinsic motivation to prepare for the test (Bailey, 1996).

Oller (1979) has pointed out that the value of instruction is dependent on teachers making sacrifices of time and effort to offer their students meaningful and useful feedback. Consequently, assessment information must be more detailed and appropriate than a general score so that such feedback can become an integral part of the learning process (Bailey, 1996). Unlike traditional proficiency tests, Brown and Hudson (1998) noted that "conference, portfolios, and self-assessments all provide rich forms of feedback to the students that can be integrated into their learning" (p. 669).

Learner autonomy refers to "the philosophy that learners should have a large amount to say about what, how and how fast they learn" (Bailey, 1996, p. 270). In other words, autonomous learners can develop their own internal values in order to enable them to diagnose their weak and strong points, and to get a more realistic view of their proficiency and progress in general. Such incorporation of informal assessment and constant feedback is dynamic and formative, providing information about whether some students need extra work, and what steps to take next.

Implications

Vollmer (1981) argues that it is important to use different types of tests in order to get a broader view of a person’s foreign language proficiency or communicative competence, and make sound decisions based on it. In addition, “any proficiency measurement should not be done at a single point in time alone but should definitely be repeated under varying circumstances because in all probability each single measurement will add
According to him, foreign language acquisition is a dynamic and a complex process. Therefore, he advised the use of different methods or different versions of the same test for language proficiency assessment, so that it can reflect complete assessment of all the aspects of language command.

Farhady (1979) advised that learner variables like previous educational and linguistic background of students would cause students to perform differently on different forms of tests (discrete and integrative). For example, a foreign student who has not experienced a cloze type test probably should not be expected to do well on it regardless of his/her language proficiency. Therefore, language proficiency tests need to consist of both integrative and discrete point subtests. He further argues “integrative tests enjoy a theoretical but not statistical or practical superiority over discrete point tests. However, it is also true that ignoring one type over the other, given the present state of affairs, may create some unwanted and unreliable biases for or against students from different countries (Farhady, 1979, p.356). The underlying processes of the language are too complex to be easily tested. A single type of test will not give a complete assessment of all the aspects of a language (Ingram, 1978, as cited in Farhady, 1979).

Drawing on the Canale and Swain model of communicative competence, Bachman and Palmer (1996) developed a theoretical model of language ability for language testing purposes. This language ability is specified within an interactional framework which considers two sets of characteristics that affect language use and language test performance: 1) the characteristics of the task or test situation (setting, test structure and instruction), and 2) the characteristics of the individuals (sex, age, native language, etc.). According to this model, tests should emphasize the communicative ability of test takers in meaningful contexts in which they are likely to need to use the language. Additionally, tests should ensure both authenticity, which is the degree to which the test tasks match the language use situation and interactiveness, which is the extent to which the test taker's language ability is engaged in accomplishing the task.

**Conclusion**

Language testing is at a critical stage of evolution. The current approach to language testing is a pragmatic one; incorporating ideas from both the integrative and discrete point approaches. It maintains some of the linguistic analysis of the discrete point approach while using context. The trend has shifted from testing linguistic elements to testing communicative functions. In addition, an effort is made to link the language-testing situation with the test-takers' experience. Consequently, pragmatic language tasks are intended to be as authentic as possible. For example, if a test-taker is going to listen to a story and retell it, a pragmatic test might have an adult actually read it (rather than an audiotape) with normal visual input (e.g., reader's gestures, number of story-linked pictures, etc.). We need to use meaningful authentic assessments that involve students in selecting and reflecting on their learning along with traditional proficiency tests. This will provide language teachers with a wider range of evidence on which to judge whether students are becoming competent, purposeful language users. Also, this will make our language program more responsive to the differing learning styles of students and value diversity therein. Finally, language programs that focus on alternative assessment along with traditional proficiency tests are likely to instill in students lifelong skills related to critical thinking that build a basis for future learning in any academic setting, and enable them to evaluate what they learn both in and outside of the language class.
References


REEP alternative assessment project (353 special project) final report, July 1, 1994-June 30, 1995.


Teachers of English to Speakers of Other Languages, Inc. (1997). The ESL standards for pre-k-12 students. Alexandria, Teachers of English to Speakers of Other Languages, Inc.


Back to Table of Contents
Introduction

In 1988, linguists James P. Lantolf and William Frawley stated that, in the quest to characterize just what exactly is meant by language proficiency, “we are no closer to understanding the concept today than we were 20 years ago” (Lantolf & Frawley, 1988, p. 185). Another quarter century has passed since Lantolf and Frawley’s disheartening declaration, yet the question remains: How do we untangle the construct of proficiency? Although theoretical and empirical efforts over the years have attempted to identify the components of proficiency, anything resembling a consensus has yet to be reached. With more than four decades devoted to delineating this seemingly simple idea, doubt emerges as to whether a single definition indeed exists that can satisfy the factions of professionals in the second language acquisition field. However, recent paths of thought have trod in a promising direction. Researchers are painting a more sophisticated picture of proficiency through the application of measurable linguistic features to traditionally subjective competency descriptions. As this linguistic quantification process continues, we can begin to examine how a deeper, more precise understanding of proficiency can inform the curriculum and assessments that determine student readiness to enter university study. Thus, this paper serves two purposes. First, it reviews historical and contemporary interpretations of proficiency and, in doing so, outlines the measurement strategies in use in the realm of English for academic purposes. Second, it crosses the bridge from English proficiency testing to university academia, analyzing the factors in play in student academic readiness and the role of language proficiency in student success.

What is Language Proficiency?

The term “proficiency” itself intimates a black-and-white, reductionist view of language ability; the language user is either proficient or deficient (Harper, Prentice, & Wilson, 2011). However, just as language learners possess varying levels of linguistic capabilities, so, too, do native speakers. Taking into account the different knowledge levels and styles of both native and non-native speakers, it seems impossible to pigeonhole language proficiency into a single denotation (Hulstijn, 2007). Despite this quandary, a rich history of language proficiency exploration has presented a number of explanations for the concept.

Defining the Construct

According to the well-established definition by Canale and Swain (1980), proficiency encompasses competence, or knowledge of the underlying production of and interpretation of language, and performance, or the actual comprehension and generation realized by the interlocutor. This distinction suggests a communicative paradigm in which interlocutors must not only know about but also know how to use a language. More explicitly, Canale and Swain proposed three subsets of linguistic proficiency: (1) grammatical competence, or knowledge of the phonological, morphological, lexical, syntactical, and semantic properties of a language; (2) sociolinguistic competence, or knowledge of the appropriateness of language functions given situational factors such as topic, participants, setting, and norms; and (3) strategic competence, or knowledge of the communication techniques, verbal and nonverbal, that serve to negotiate and repair communication breakdowns. Since this demarcation of proficiency, many elaborations have followed. What these various
positions hold in common is a partitioning of the construct. Bachman (1990) adjusted Canale and Swain’s model, suggesting the whole of language ability is made up of 1) language competence, or knowledge of language; 2) strategic competence, or the mental capacity to implement language knowledge in context; and 3) psychophysiological mechanisms, referring to the neurological and psychological processes involved in physically producing language. De Jong (2004) dichotomized proficiency into language quantity, referring to what the user is able to do, including the domains, functions, topics, and roles the user can negotiate, and quality, how well the user is able to perform, or the degree to which the language use is effective in its precision of meaning. Hulstijn (2007) suggested an existence of basic and higher levels of language cognition, with a core component representing the implicit, automatic language attainable by most adults and a peripheral component representing a higher order of language cognition that requires both conscious awareness of language processing, e.g. explicit knowledge of vocabulary, as well as subconscious processes such as attention allocation and inferencing skills.

The division of language proficiency into lower and higher orders is a common thread in proficiency analyses in academic settings in particular. The distinction between social and academic language gained wide recognition with Cummins’ (1980) BICS (basic interpersonal communication skills) vs. CALP (cognitive academic language proficiency) model. Northedge (2003), Macken-Horarik, Devereux, Trimmingham-Jack, and Wilson (2006), and Murray (2010) echoed Cummins by partitioning language into everyday, academic, and professional literacies. With a hierarchical separation of language skills constituting the unifying theme throughout these various theories of proficiency, one impression seems clear; proficiency, despite its all-or-nothing tone, in reality is more accurately captured by the term development, indicating gradual growth over time. Both native and non-native speakers develop knowledge of and skills in the linguistic contexts in which they participate. This truth is particularly evident in a university setting, where both native speakers and language learners develop their linguistic framework to meet the demands of new academic and professional environments.

Turning the focus to the realm of academia, then, a number of complications arise in assessing proficiency. It has been the challenge of institutions worldwide to determine exactly where to draw the line on the “acceptable” level of proficiency required for engaging in academic and professional endeavors. In attempting to draw this line, we may consider the following questions: (1) How can underlying linguistic knowledge be assessed if it is only displayed through the learner’s performance? (2) Does underlying knowledge even matter in assessment if, due to language’s interactional nature, only performance can be manifested in the communication process? (3) Finally, if both native and non-native students undergo linguistic growth throughout their academic experiences, what level of linguistic proficiency is “enough” to allow language learners to keep pace with their native-speaking peers in attaining academic success?

Measuring Language Proficiency

Even though attempts at proficiency characterization have posed more questions than answers, the sheer number of English language learners aiming to study at English-speaking universities demands some sort of assessment to gauge a student’s academic potential in English (Hulstijn, 2007). English language proficiency tests date back a full century to the Certificate of Proficiency in English, introduced in 1913 by the University of Cambridge Local Examinations Syndicate to ensure incoming students met the school’s standard of English proficiency.
Since then, multiple tests and proficiency descriptors have been developed to determine ELLs’ readiness to succeed in English academics, some of the most widely used being the TOEFL and IELTS tests and the ACTFL Guidelines. The dilemma in this backwards scenario of assessing English proficiency without clarifying exactly what proficiency entails, though, is that proficiency becomes whatever the tests measure. That is, the situation becomes an instance of “the tail wagging the dog” (Lantolf & Frawley, 1988, p. 182). This realization suggests that the what and how of proficiency test measurements and descriptors have serious implications for framing the meaning of language proficiency, not to mention the futures of the test takers. Nonetheless, empirically based models of second language acquisition find little place in the determination of proficiency levels by these measures. For instance, the ACTFL proficiency guidelines state that “the Guidelines are not based on any particular theory” (ACTFL, 2012, p. 3).

This dearth of theoretical and empirical backing results in proficiency descriptors that are vague and subjectively based. For instance, a student earning a three out of four for speech delivery on the TOEFL iBT produces speech that is “generally clear, with some fluidity of expression, though minor difficulties with pronunciation, intonation, or pacing are noticeable and may require listener effort at times” (Educational Testing Service, 2004, p. 1). Likewise, a writer testing into the sixth of nine band levels for grammatical range and accuracy on the IELTS writing task 1 test “makes some errors in grammar and punctuation but they rarely reduce communication” (IELTS Partners, 2009, p. 1). According to the ACTFL Guidelines, an intermediate high reader is “able to understand fully and with ease short, non-complex texts that convey basic information and deal with personal and social topics to which the reader brings personal interest or knowledge” (ACTFL, 2012, p. 23).

Certainly, it can be argued that subjective interpretation is the measuring stick of communication in the real world; inherent in language is the human factor in its employment. In fact, in an examination of the consistency of teacher judgments of English proficiency over time, Llosa (2011) observed that teachers were good judges of overall language ability despite a demonstrated inability to consistently interpret students’ performances on individual standards. Considering the high stakes resting on the appraisal of a student’s language proficiency, though, uncovering more objectively measureable linguistic features to anchor subjective judgments seems much more palatable. That is, rather than cloudy assertions such as “writers…show evidence of control of basic sentence structure and verb forms” (ACTFL 2012, p. 13), in which the subtleties of a student’s performance are at the mercy of an evaluator, defining why a learner has “control of basic sentence structure” would make such descriptors more accurate and also more meaningful in informing language curriculum.

The obvious complexity of this task speaks to why current proficiency measures do not attempt to delve deeper into the facets of linguistic competence. Since Bailey, Madden, and Krashen (1974) proposed that learners follow a predictable path of language acquisition, additional studies have followed suit in identifying stages of language development (Hawkins, 2001). However, the variables and variations in results among studies form shaky grounds from which to assert that the route is identical for all learners (Hulstijn, 2007). Thus, the hesitancy of test makers to adopt such linguistic foundations to define proficiency levels is understandable. Still, the result of this reluctance is that proficiency guidelines are a “haphazard collection of descriptors” that are “strikingly random” in describing how linguistic features contribute to overall proficiency (Levis, 2006, p. 245). Such a predicament led Hulstijn (2007) to declare that “it is high time that researchers of SLA, researchers of...
language assessment, and corpus linguists paid attention to each other’s work and engaged in collaborative research, testing the linguistic, psycholinguistic, and sociolinguistic assumptions” on which proficiency measures rest (p. 666).

Although, as yet, no definition of language proficiency independent from the tests themselves exists, the field is making headway. In recent years, there have been a number of attempts to identify objective, concrete linguistic measures that correlate with subjective guidelines. Such attempts are outlined in the sections that follow for five language skills: writing, speaking, grammar, reading, and listening.

**Writing.** As a productive skill, writing poses a challenge in proficiency assessment in that not all facets of a learner’s competence may be displayed on any one test. Even so, informed evaluations can be made using quantifiable writing dimensions. Based on a meta-analysis of L2 writing studies, Wolfe-Quintero, Inagaki, and Kim (1998) outlined a three-pronged approach to measuring writing development: fluency, or the ability to write more in a designated amount of time; accuracy, or the absence of errors in writing, and complexity, or the intricacy of grammatical and lexical structures in writing. They determined that, in terms of fluency, three measures, namely words/T-unit (T-unit = main clause + subordinates), error-free T-unit length, and clause length proved to be the best predictors of writing proficiency across studies, forming a linear relationship with L2 writing ability.

Regarding accuracy, Wolfe-Quintero et al. (1998) found the relationship between accuracy measures (e.g. error-free T-units, error-free T-units/T-unit, and errors/T-unit) and proficiency as defined by program level to be unclear; four studies revealed a linear relationship—that is, decreased errors with increasing proficiency—while six did not, perhaps reflecting a trade-off between accuracy and complexity as more advanced learners sacrifice accuracy in their attempts at more complex sentences. However, studies that used holistic teacher ratings of proficiency across multiple proficiency levels or within a narrow range of test scores did show a consistent linear progression of accuracy with proficiency. The strong correlation between errors and holistic ratings suggests that errors are particularly salient to teachers when they are making writing performance judgments.

With respect to complexity, grammatical complexity was best determined by number of clauses/T-unit, but dependent clauses/T-unit and measures of passives, articles, relative clauses, and complex nominals were also successful in differentiating developmental level. Lexical variation and sophistication but not density—the proportion of lexical words to total (lexical + function) words—appeared to be related to lexical complexity. Specifically, a word type variation measure developed by Carroll (1967) (total number of different word types/square root of two times the total number of words used) served as the strongest variation measure because the formula accounts for composition length; employing a seemingly more intuitive formula, i.e. word types/total words, incidentally penalizes longer compositions, as their length inevitably leads to repeated word types. Finally, word sophistication, as measured by total number of sophisticated word types/total number of word types, was also a valuable gauge of lexical complexity.

Other studies focusing on lexicon have found that human judgments of lexical proficiency are best predicted by a text’s lexical diversity, word frequency, and conceptual levels of the word, such as word familiarity and imagability, or the ease with which a visual representation of a word can be accessed (e.g. “dog” with high imagability versus “insight” with low imagability). In a corpus of writing samples produced by ELLs at American universities, these three factors alone correctly classified 70 percent of texts into proficiency levels,
as determined by TOEFL and ACT ESL Compass scores (Crossley, Salsbury, & McNamara, 2011). Notably, Crossley and McNamara (2012) observed that cohesiveness was unimportant in differentiating between highly proficient and lower-rated L2 writers in a Hong Kong high school, perhaps because one primary measure of cohesiveness is word overlap, which intuitively relates inversely to lexical diversity. Instead, linguistic sophistication, including word frequency and specificity, appeared to be a marker of more advanced English writing proficiency. Thus, the three linguistic features of lexical diversity, word frequency, and conceptual word levels seem to be “tentacles to the writers’ language abilities” (Crossley & McNamara, 2012, p. 116).

Speaking. The area of L2 speaking has also seen new efforts to create comprehensibility guidelines that correlate quantitative measures of phonology, fluency, and discourse with native listener judgments of comprehensibility. In a study with French learners of English, Isaacs and Trofimovich (2012) used 19 linguistic measures in areas such as phonological and grammatical accuracy, lexical richness, and story cohesion to develop data-driven descriptors of learner proficiency levels. They found accuracy of English word stress to most strongly distinguish among high, intermediate, and low comprehensibility, although this finding might be tied to the L1, French, in which word stress is noncontrastive. Iwashita, Brown, McNamara, and O’Hagan (2008) conducted a similar study with 200 L2 English speakers of varied linguistic backgrounds and demonstrated that aspects of vocabulary, grammatical accuracy, fluency (speech rate), and pronunciation distinguished among holistically rated proficiency levels, with vocabulary and fluency being especially impactful. That some speaking elements held more weight in native listener ratings supported Higgs and Clifford’s (1982) claim that not all aspects of speaking are equally important in speaking skill development. The findings of De Jong, Steinel, Florijn, Schoonen, and Hulstijn (2012), too, support this position. In a study testing speaking proficiency across tasks of varying complexity, formality, and discourse type, the researchers showed seven linguistic skills to be associated with functional adequacy of speech: (1) use of vocabulary, (2) use of grammar, (3) speed of lexical retrieval, (4) speed of sentence building, (5) sound quality of pronunciation, (6) word stress, and (7) intonation. Of these factors, vocabulary and intonation alone explained 75 percent of the variance in speech proficiency. The results of these investigations lay the groundwork for future research to establish a weighting system of linguistic skills in speaking assessment and curriculum.

Grammar. Many proficiency measures, e.g. the TOEFL, use impressionistic rating terms such as “basic,” “moderate,” and “good” in the assessment of grammar use. In order to qualify such terms, Young-Scholten and Ijiun (2006) applied the theory of organic grammar to writing samples produced by ELLs at an American community college to predict advancement in proficiency level. The organic grammar theory asserts that a learner follows a predictable route in language acquisition, developing from a base stage of L1-like syntax and nonfinite verbal morphology to an end state resembling the target language (Vainikka & Young-Scholten, 2006). The organic grammar criteria did accurately predict student progression, even more so than impressionistic ratings.

In a separate attempt to apply concrete grammatical development indicators to ambiguous rubrics, Spinner (2011) applied the organic grammar theory as well as processability theory (Pienemann, 1998, 2005) to spontaneous ELL production data. Like organic grammar, processability theory outlines a predictable path of language acquisition based on stages of morphosyntactic development (Pienemann, 1998, 2005). Spinner’s results showed that the developmental sequence laid out by processability theory did predict the order of morphosyntactic feature emergence. For example, word order, plurals, regular past tense, and possessive
pronouns emerged in production before sentences beginning with a nonsubject, object pronouns, third-person singular, and wh-complement phrases. However, in contrast to Young-Scholten and Ijuin’s results, the progression outlined by organic grammar theory did not adequately describe learners’ morphosyntactic development; some students reached higher levels on the organic grammar scale without demonstrating evidence of lower stages. Despite inconsistent findings and complications stemming from L1 and writing/speaking task differences, these studies paved the way for future research to pursue a unified mechanism for more precise grammatical evaluation.

Reading. Because reading is a receptive skill, test design can more easily control what skills are assessed. This characteristic, however, also positions the test itself as a larger confounding factor in reading proficiency appraisal; student comprehension can only be measured through the dimensions comprising the test. This predetermination of the skills on display makes extracting specific developmental landmarks from holistic reading measures more difficult.

Garcia Gomez, Noah, Schedl, Wright, and Yolkut (2007) illustrated this issue in a report on the creation of the descriptors for the iBT version of the TOEFL reading test: “The goal was to provide descriptive information about the abilities that test takers need in order to answer questions correctly” (p. 417). The wording of this statement—that the descriptors define abilities for test-taking success and not reading success—reflects the interdependence of the test rubrics and the characterization of proficiency.

Nevertheless, the TOEFL iBT reading test descriptors do represent two abilities that are widely recognized as cornerstones of reading proficiency: (1) basic comprehension, defined as lexical, syntactic, and semantic abilities that allow information presented in single sentences and across sentences to be understood, and (2) reading to learn, which requires students to identify the organization and purpose of a text, distinguish major from minor details and essential from nonessential information, and understand rhetorical functions like cause and effect. Likewise, other language tests reflect a similar approach to reading proficiency measurement. For example, the English as a Second Language Placement Exam (ESLPE) at UCLA defines reading ability as the sum of two subskills: understanding explicitly stated information and understanding implicitly stated information.

As vocabulary is quite clearly instrumental to reading comprehension, many studies have examined the utility of vocabulary measures in predicting reading proficiency. Most researchers agree that breadth and depth are two scopes that serve as a lens into students’ vocabulary knowledge (Qian & Schedl, 2004). To the list of vocabulary knowledge components, others have added lexicon organization and processes of lexical access (Chapelle, 1998), precision of meaning and receptive and productive knowledge (Henriksen, 1999), and form, position, and function (Nation, 2001). Considering this previous research, Qian and Schedl (2004) compiled a model of vocabulary knowledge that includes size, depth, organization, and automaticity of receptive-productive knowledge. They used this model to test the relationship between vocabulary and reading comprehension in international students at an IEP in Canada. Findings indicated that vocabulary depth and basic reading comprehension were highly correlated. Zareva, Schwanenflugel, and Nikolova (2005) also found that vocabulary size, knowledge of less frequent as well as highly frequent words, and number of associations distinguished well between advanced and intermediate learners. Thus, vocabulary tests hold promise in measuring not only vocabulary knowledge but also reading skills.

Back to Table of Contents
Listening. In the broad scope of language acquisition research, the number of studies exploring the listening proficiency construct pales in comparison to the body of information focusing on the other skill sets. However, the development of the listening section of the ESLPE at UCLA, as discussed by Song (2008), provides an interpretation of listening competence. Song posited that three subskills, closely resembling the reading models reviewed previously, constitute listening proficiency: understanding main and topical ideas, understanding details, and making inferences. A subsequent study in 2011 suggested that note-taking quality indicates listening proficiency equally as well as open-ended measures. Number of topical ideas taken down and organizational schema of notes were especially useful in predicting listening proficiency. Furthermore, Song found that, as might be expected, topics recorded in notes measured student understanding of topical ideas, and number of details included in notes measured student understanding of details. Interestingly, note organization was a strong indicator of inferencing ability. These associations were stronger when a skeleton outline was provided for students in comparison to note-taking from a blank slate, suggesting that an outline format facilitates students in taking complete notes at every level of information. This finding proves valuable seeing that many academic courses in today’s universities offer lecture outlines or slides for student use.

Long, Gor, and Jackson (2012) took the first step toward matching developmental linguistic features to both speaking and listening, using perceptive and productive tasks with L1 English–L2 Russian learners. Regarding perceptive tasks, they found that variables relating to phonology, imperative constructions, approximate versus exact numerals, and collocations distinguished among levels 2, 2+, and 3 on the Interagency Language Roundtable (ILR) scale; some grammatical measures (verbal adverbs, derivational morphology, participles, reflexive verbs, and basic lexicon nouns), however, only differentiated levels 2 and 2+, while derivational morphology, semantics, subjectless sentence constructions, verbal prefixes, and indefinite nouns discriminated between ILR 2+ and 3 but not 2 and 2+. The fact that the perception tests that best classified learner proficiency varied according to the levels being distinguished is particularly interesting and underscores the significance of fine grammatical details in describing learners’ language progression.

Limitations. In synthesizing the body of information presented here, an obvious question regards the effect of the L1 on the emergence pattern of linguistic features. That is, is the particular path of speaking, listening, reading, writing, and grammatical development—and thus the linguistic features that can be used to distinguish among proficiency levels in each skill area—affected or determined by the L1, and, if so, how? Another concern is the authenticity of test tasks. Bachman (1991) maintained that the language abilities measured by a language test should parallel the language abilities involved in non-test language use. Therefore, the characteristics of test tasks should correspond to features of target language use in context (Bachman, 1991). Professional perspectives in the TESOL field in general align with Bachman’s outlook, strongly promoting language use in lifelike contexts. English for academic purposes, though, puts a twist on the matter; many of the activities that form the academic experience, such as writing papers, do not reflect real-life situations for most professions. In fact, school itself is a rather inauthentic experience much of the time. Therefore, in EAP test development and curriculum design, is the “reality” for which students are to be prepared the reality of university or the world beyond?

Regardless of L1 influence and test task authenticity, the most substantial problem with these studies is that most use the very measures that have been identified as flawed—TOEFL, IELTS, and ACTFL measures— as the standard against which to compare empirical backing for rater intuitions. Relying on vague measurements
that are themselves in want of theoretical and empirical backing as baselines in turn compromises the efficacy of new research in escaping the pitfalls of current proficiency assessment methods.

Other Factors Correlated with Proficiency

Despite the current focus on mapping the linguistic path undertaken by language learners, it is important to note that L2 proficiency is not isolated from the L1 or even non-linguistic variables; other factors have been shown to contribute to second language competency. Such factors include L1 dexterity, aptitude for language learning, affective characteristics, cognitive skills, and educational background.

Native language and aptitude for language learning contribute in no small way to foreign language proficiency. Carroll and Sapon (2000) outlined the construct of aptitude as the aggregate of phonemic coding ability, grammatical sensitivity, associative memory, and inductive language learning ability. These elements are manifested through both the L1 and the L2, with aptitude for language learning in general relating to skillful use of both native and foreign language to accomplish communication goals (Sparks & Ganschow, 1991, 1995). Specifically, early L1 skills, namely word decoding, spelling, vocabulary, and reading and listening comprehension, have been shown to connect to both L2 aptitude and L2 proficiency later in life (Sparks, Humnbach, & Javorsky, 2008; Sparks, Patton, Ganschow, & Humbach, 2009). For instance, Sparks, Patton, Ganschow, Humbach, and Javorsky (2006) found that L1 skills in grades one through five explained 73 percent of the variance in students’ L2 aptitude in grade nine, and early L1 reading skills alone accounted for 40 percent of the variance in oral and written L2 proficiency. In line with this trend, Rysiewicz (2008) found native vocabulary inventory to be the most differentiating variable in foreign language learning success, and Ito (2004) observed L1 writing skills to be a more powerful predictor of L2 writing than L2 knowledge in general.

In later studies, Sparks and colleagues calculated that L1 phonological and orthographical skills explained 26 percent of the variance in total L2 proficiency, while self-perceptions of language skills and language analysis accounted for 20 percent and 16 percent of L2 proficiency variance (Sparks, Patton, Ganschow, & Humbach, 2011). Notably, in an American high school, students with low levels of foreign language proficiency did not necessarily possess weak L1 skills; many low proficient learners demonstrated average L1 word decoding, phonological awareness, vocabulary use, and reading comprehension. It was the highly proficient language learners who differentiated themselves with exceptional L1 skills and aptitude (Sparks, Artzer, Ganschow, Siebenhar, Plageman, & Patton, 1998).

These trends do not always seem to be consistent across language groups, however. For instance, English-learning native speakers of Japanese and Arabic but not native speakers of Chinese and Hebrew showed a significant correlation between L1 and L2 writing abilities, although differing proficiency levels among the learners tested complicated these results (Abu-Akel, 1997; Carson, Carrell, Silberstein, Kroll, & Kuehn, 1990). Nevertheless, it can be concluded that, by and large, L2 proficiency seems to be closely related to deftness in the L1, and L2 aptitude tests appear to provide reliable predictions of L2 proficiency (Sparks, Patton, & Ganschow, 2012).

Affective variables also appear to influence proficiency. Masgoret and Gardner (2003) conducted a meta-analysis of attitudinal factors involved in second language achievement and calculated that openness to identify with the language community, motivation, and attitude toward the learning situation all formed positive correlations with language achievement, with motivation accounting for the most variance (8-16 percent) in the
majority of studies. Neither availability of the language in the community nor age held moderating effects on these relationships.

Language learning anxiety is another factor posited to affect L2 learning, although researchers disagree on its status as an impediment or a side effect. MacIntyre (2002) backed the camp supporting language learning anxiety as a causal element in L2 achievement. In contrast, Sparks and Ganschow proposed that low motivation and language learning anxiety are effects of, rather than causes of, lower language skills and aptitude (Sparks & Ganschow, 1996; Ganschow, Sparks, Anderson, Javorksy, Skinner, and Patton, 1994).

A number of cognitive skills have also been linked to language proficiency. Notably, L2 success does not seem to depend on rote memory. Rochecouste, Oliver, and Mulligan (2012) found that language-learning approaches involving rote learning had a negative impact on language growth and academic achievement. In an examination of 13-year-old ELLs in Poland, rote memory was not shown to either impede or enhance foreign language learning. Rather, analytical language abilities appeared to contribute to language learning success, with low language induction abilities proving to be detrimental to L2 proficiency (Rysiewicz, 2008). Results from a study conducted by Harley and Hart (2002) somewhat supported this trend; analytical language ability was found to be predictive of L2 success in late immersion learners, although memory better predicted L2 success in early immersion learners.

Intuitively, one might also presume that discipline-related knowledge could facilitate the acquisition of a foreign language in that content area. Indeed, an interaction between discipline-related knowledge and proficiency was found in Usó-Juan’s (2006) investigation of native Spanish-speaking ELL undergraduates, with field knowledge accounting for 21 to 31 percent of EAP reading scores and 58 to 68 percent of proficiency in general. A lack of discipline-related knowledge could be compensated for with higher language proficiency, but learners needed at least an intermediate level of L2 language to do so. Conversely, a lack of proficiency could be counterbalanced to a certain extent with discipline-related knowledge. East Asian graduate students studying in the U.S. corroborated Usó-Juan’s findings, stating that familiarity with the academic language and body of research in their areas of study helped them attain academic success in the L2 (Ren, Bryan, Min, & Wei, 2007). In summary, the linguistic threshold required for academic success seems to see-saw based on the interaction between discipline-related knowledge and language proficiency, allowing for lower proficiency in the presence of higher background knowledge.

**Student Academic Success**

The manifold dimensions of language proficiency substantiate the difficulties of pinning down a precise definition of the construct. Despite a want of firm footing on exactly what language proficiency is, though, its ties to academic success are well documented. Lack of English proficiency is the primary obstacle for non-native-speaking international students undertaking studies at English-speaking universities (Mori, 2000; Selvadurai, 1998). Even for international students who have participated in years of English study at home, transitioning from study of English to study in English proves challenging (Johnson, 2008). In order to best prepare ELL students to achieve their academic ambitions, identifying which aspects of language proficiency affect academic success and in what ways seems integral to establishing best practices in program structure, curriculum, and test design in both IEPs and universities as a whole.
As with language proficiency, academic success has many interpretations across contexts. Implicit to the word success is the recognition of a goal that has been accomplished. That goal obviously differs among students, but it can be reasonably assumed that earning a degree would merit the label of “success.” However, smaller mileposts along the way also serve as useful indicators of success, particularly in analyses taking place in shorter timeframes, such as a semester or academic year. These mileposts include progress toward degree or credit completion, GPA, and even students’ personally rated progress toward goals. The purpose of these markers is to provide some sort of “evidence of learning” on the road to attaining the ultimate goal, degree completion (Snow Andrade, 2006, p. 134). Thus, while true “success” might not be fully realized until a diploma is received, these achievement-oriented measures of short-term success serve as in-progress projections of eventual academic success. Therefore, while some may differentiate between academic achievement (e.g. GPA) and academic success (e.g. degree completion), in the context of this paper, the two terms will be used interchangeably.

_Linguistic Factors in Academic Success_

At first glance, the relationship between language proficiency and academic success appears to be weak. A number of studies were unable to find clear evidence linking the two concepts (Graham, 1987; Neal, 1998; Person, 2002). Backed by Isonio’s (1994) findings that international students perform as well as or even better than their American counterparts, it seems that the common-sense stance—that language proficiency lies central to achieving academic success in that language—may not hold true.

Notwithstanding this tepidity, a wealth of studies provides modest but significant evidence that language proficiency correlates positively with academic success (Ayers & Peters, 1977; Bayliss & Raymond, 2004; Dooey & Oliver, 2002; Feast, 2002; Kerstjens & Nery, 2000; Light, Xu, & Mossop, 1987; Stoynoff, 1997; Woodrow, 2006). What’s more, an examination of international and domestic students at an Australian university reported weaker academic performance from international students, but this difference disappeared when language ability was taken into account (Ramburuth, 2001). This finding suggests that international students and domestic students have equally strong academic skills, but a lack of language skills impedes international student academic performance. Reading skills were commonly found to be the most important facet of proficiency in predicting academic success (Bayliss & Raymond, 2004; Phakiti, 2008), but other investigations identified writing as the most predictive proficiency feature of academic success (Ramburuth, 2001; Li, Chen, & Duanmu, 2010).

Despite consistent conclusions that proficiency and academic success are indeed linked, the correlation appears to be moderate. For instance, Phakiti (2008) showed that overall English proficiency accounted for only 7 percent of academic achievement, with reading proficiency holding an only slightly stronger hand at 10 percent. Furthermore, although Oliver, Vanderford, and Grote (2012) revealed that reading, listening, and speaking skills were significantly correlated with success for postgraduates, only reading proficiency was significantly related to GPA for undergraduates.

A closer analysis of study participants presents a rationale for these patchy findings. Nearly all of the studies examined used TOEFL or IELTS scores as the measure of proficiency. Before ELL students can begin university study in an English setting, they must have attained a minimum level of proficiency, i.e. a minimum score on the TOEFL or IELTS. Therefore, the investigations of ELLs’ academic success looked only at students...
who had already demonstrated linguistic performance above a baseline deemed necessary for success. With this thought in mind, Stoyoff (1997) risked extrapolation complications to predict that the proficiency-success correlation, corrected for a restricted range of TOEFL scores, would be .39. Vinke and Jochems (1993), in an examination of NNES Indonesian engineering students, found the correlation to be .51. What’s more, the proficiency-success correlation for engineers at the lowest proficiency level (TOEFL scores below 450) was nearly zero, while at the same time, proficiency was, predictably, not an impediment to students with very high linguistic competence. This pattern suggests that there is a range of linguistic skill in which an increase in proficiency increases the chance of academic success. The academic performance of students above that range is not substantially affected by increased proficiency; likewise, the success of students below that range is not improved with increased proficiency until the lower limit of the linguistic threshold is crossed (Vinke & Jochems, 1993). Determining the cutoff points for the proficiency-success connection is a job in and of itself. The task lends direction to future investigations, as the range may vary according to a number of factors, including academic discipline.

In further support of the relationship between language proficiency and academic success, several studies established that proficiency more strongly predicts success for graduate students than undergraduates (Berman & Cheng, 2001; Oliver, Vanderford, & Grote, 2012). Mathews (2007) posited that research using undergraduate participants weakens the correlation between language skill and academic achievement because, at the undergraduate level, NNES and native English speakers go through a period of academic transition in which field-specific terminology must be acquired: “At the undergraduate level, one is allowed to be at a transitory stage because this is a psychological experience shared by North Americans and non-North Americans alike” (Mathews, 2007, p. 659). Due to this shared linguistic and personal metamorphosis, learning in an L2 has less of an effect on undergraduates than on graduate students, who are expected to already be well-versed in the jargon of their chosen profession and academia in general.

Another snag in solving the proficiency-success puzzle lies in the use of standardized test scores, most commonly TOEFL or IELTS scores, as proficiency determinants. The question of whether these exams do indeed accurately measure language proficiency is not new. Evidence regarding their validity and reliability has been provided in both the negative (Roemer, 2002) and the affirmative (Wainer & Lukhele, 1997). However, Oliver et al. (2012) discovered that, at an Australian university, standardized tests such as the TOEFL, rather than in-house proficiency tests or previous class grades, provided the best evidence for students’ potential academic success.

Still other factors muddle the dissection of the proficiency-success relationship. Logically, different levels of and kinds of linguistic skills are required for different academic fields of study. In addition, variations in cultural and linguistic background may be a confounding factor in proficiency and academic success measurements, although several studies have shown the contrary. For example, Nelson, Nelson, & Malone (2004) were by and large unable to discern any distinctions in academic performance among cultural and linguistic groups. Furthermore, Bayliss and Raymond’s (2004) examination of L1 English-L2 French and L1 Chinese-L2 English university students found that L2 proficiency, especially reading skills, correlated with academic success for both groups.

In light of the abundance of complications in addressing language proficiency and academic achievement, it is useful to examine self-reports of NNES students engaging in study at English-speaking universities. Perhaps it
is not surprising that the language task denoted as “most difficult” differed across studies, considering participants in these studies varied in culture, native language, degree progress, age, and major, to name only a few variables. Nevertheless, student insight is valuable in attempting to pinpoint which skills, both generally and specifically, generate the most problems for language learners.

The volume of reading required in academic study was denoted the largest difficulty by Chinese-speaking ELLs in a Canadian university MBA program (Bayliss & Raymond, 2004). Although East Asian graduate students in Ren, Bryan, Min, and Wei’s (2007) investigation felt well-prepared by their previous English educational experiences for the reading demands at an American university, graduate students at a Canadian university explained that the difficulty of reading itself was not the problem but rather the time consumed by the task; they felt, as non-native speakers, they were much slower at completing reading assignments than their native-speaking counterparts (Cheng, Myles, & Curtis, 2004).

Alternatively, writing was also identified as a problematic area for both undergraduate and graduate ELLs. Studies have shown students to struggle with tasks such as understanding a writer’s purpose (Berman & Cheng, 2001), writing short reports (Cheng, Myles, & Curtis, 2004), and adopting referencing customs (Dooey, 2010).

Listening was also a contender for most difficult skill. Vocabulary gaps presented some problems for students, but most were more greatly hindered by lack of comprehension at the extended discourse level (Ren et al., 2007). Specifically, students estimated that in their first year of English immersion study, they understood only 20 to 30 percent of lecture content (Johnson, 2008).

Finally, speaking also proved to be stressful for many international students. Participating in whole-class and group discussions, raising questions during class, and making oral presentations were pegged as especially difficult (Berman & Cheng, 2001; Cheng, Myles, & Curtis, 2004; Ren et al., 2007; Kim, 2006). Interestingly, East Asian graduate students designated making formal oral presentations as the most important speaking skill but reported class discussions and asking questions to be far more frequent tasks in American university classrooms (Kim, 2006). International students preferred hands-on tasks to discourse-based learning because they felt they could establish rapport with domestic students who, in spoken interactions, sometimes viewed ELL students as less competent (Parks & Raymond, 2004; Ren et al., 2007). This finding is reflected in reports of international students lacking confidence, fearing mistakes, and feeling self-conscious while performing linguistic tasks (Dooey, 2010; Ren et al., 2007; Tompson & Tompson, 1996). More than the obvious consternation that international students are viewed as deficient by their domestic peers, this finding is also disconcerting because language-learning strategies associated with risk-taking, such as interacting with native speakers and using hypothesized synonyms for forgotten terms, are linked to academic success (Rochecouste, Oliver, & Mulligan, 2012).

Overall, research points toward the existence of a moderate but significant association between language proficiency and academic success. A multiplicity of factors, including but not limited to academic stage of the student, area of study, affective characteristics, cognitive skills, and educational, cultural, and linguistic background, may interact with and moderate the relationship. Although some evidence suggests a stronger correlation between language and study success, the modest relationship observed in the majority of research highlights the importance of non-linguistic factors in determining academic success.
Non-linguistic Factors in Academic Success

Exploring the non-linguistic factors involved in academic success makes the experiences of domestic students as well as international students relevant to inspection. On the whole, students who feel at home, feel well-connected to fellow students and teachers, and take part in extracurricular activities are more likely to graduate (Severiens & Wolff, 2008). Furthermore, social support from family and friends has been shown to have a positive influence on study success of first-year students (Wilcox, Winn, & Fyvie-Gauld, 2005). Based on the assumption that international students are far from home and often must bridge a language and culture gap to establish relationships with fellow students and faculty, ELLs seem to be at a disadvantage from the outset of their English education experiences. Fortunately, George, Dixon, Stansal, Lund Gelb, and Pheri (2008) identified a number of qualities and habits linked to academic success in Canadian university undergraduates that are equally attainable by both domestic and international students. Specifically, the greatest predictors of academic success were clearly defined goals, time management, spending less time in passive leisure, a healthy diet, waking up early, computer ownership, and spending less time sleeping. In fact, strong time management was an even better predictor of GPA than SAT scores. Moore (1994), too, showed a positive association between time management skills and academic success that was independent of academic aptitude as predicted by SAT scores.

An analysis of Dutch university students (Van Den Berg & Hofman, 2005) lends greater insight into the contributors to academic success. Results revealed that students who had obtained a study grant made more progress toward degree completion than those without grants; women and majority ethnicities also earned more credits in an academic year, as did students with prior educational experiences. Education level of parents and socioeconomic status had no bearing on success in this context, and jobholding did not negatively influence study progress unless students committed more than 12 hours per week to work (Van Den Berg & Hofman, 2005). Emotional and cognitive processes have also been shown to play a role in academic success. Not surprisingly, persistence and engagement in reflective and critical thinking showed positive effects on academic performance, while students who viewed learning as an exercise in memorization and facts recall had less success in a Spanish university setting (Phan, 2009).

The effects of age on academic achievement are mixed. McInnis, James, and McNaught (1995) determined that mature students perform better than younger students, but Clark and Ramsay (1990), Vinke and Jochems (1993), and Van Den Berg and Hofman (2005) found a negative relationship between age and success. These inconsistent conclusions can be explained by the interaction of age with other factors linked to low academic success, such as delayed educational careers and breaks in study (Van Den Berg & Hofman, 2005).

With a grasp of the traits that contribute to student academic success on the whole, the next step is to home in on international student experiences. Upon arrival at university, both domestic and international students must adapt to a new setting. International students, however, must frequently make changes at a much deeper level and in many more facets of their lives than their domestic counterparts. The degree of comfort international students feel with the often unfamiliar aspects of their host environment defines their adjustment level (Black & Gregersen, 1991; Snow Andrade, 2006). Language is by no means unrelated to student adjustment; students with higher TOEFL scores were observed as having fewer difficulties adjusting to the academic demands of English settings (Senyshyn, Warford, & Zhan, 2000). Yet ELLs’ abilities to adjust positively to the new demands placed on them beyond “mere” linguistic obstacles contribute in large part to their academic success.

Back to Table of Contents
In a broad sense, there are two realizations of international student adjustment: assimilation, occurring when a minority student loses non-conforming characteristics and becomes indistinguishable from the dominant group, and acculturation, occurring when a student adapts to the dominant culture but at the same time maintains a sense of identity with the home culture (Castro-Abad, 1995). Because acculturation is viewed as the preferred outcome of the international student experience, adjustment will be equated with acculturation in this analysis.

Although previous lines of thought suggested cultural adjustment played out in a U pattern, with stages of initial excitement preceding culture shock and an eventual, gradual recovery, more data support the notion of adjustment as a linear process (Hechanova-Alampay, Beehr, Christiansen, & Van Horn, 2002). Of course, cultural adjustment and culture shock varies by individual due to factors such as motivation, personality, previous experiences, pre-arrival preparation, interaction strategies, and home-host cultural similarity. The most common causes of stress in adjustment include cultural distance, language problems, unfamiliar academic demands, loneliness, and homesickness (Brown & Holloway, 2008). Differences in motivation, self-efficacy, self-regulation strategies, attitudes toward difficulty, and transferability of former learning experiences to new situations have also been tied to academic performance regardless of language proficiency (Phakiti & Li, 2011). In a cross-institutional comparison of nearly 1,000 business students in the Netherlands, Reinties et al. (2012) determined GPA after the first year of study was correlated with academic adjustment, personal-emotional adjustment, commitment to educational-institutional goals, and perception of faculty.

Reinties et al. (2012) classified these facets of adjustment into two categories: academic and social integration. Academic integration describes adjustment to the educational demands of the new setting, how well a student deals with the interpersonal requirements of study (e.g. working in groups), personal and emotional distress experienced while adapting to the local way of life, and degree of commitment to educational-institutional goals. Social integration pertains to the social way of life at the university, including a student’s perception of faculty reputation, social support systems, social life, ethnic background, and financial support. Rienties’ team affirmed that academic performance was primarily affected by academic integration but that features of social integration, namely having friends from both the home and host culture, sharing accommodation, and being a member of an association or club, also contributed to academic success.

**Academic integration.** Although our previous discussion summarized the importance of linguistic expertise to academic success, it should not be overshadowed by the challenge of integrating into a new academic culture. Fox (1994) discovered that what professors perceived to be an inability to analyze and develop a written argument was in fact a result of cultural differences in communication styles, not language proficiency. Examining Westerners and non-Westerners studying Chinese as a foreign language in China, Yu and Downing (2012) discerned that socio-cultural adaptation was influenced more by integrative motivation and less by L2 proficiency. That is, internal motivators such as interest in the language and culture rather than external, environmental motivators such as job acquisition pressures fostered better adjustment. The significance of internal versus environmental motivation to international student adjustment was echoed in research by Kagan and Cohen (1990).

Other affective factors have been linked to academic integration and, in turn, academic success, self-efficacy being a principle one. Self-efficacy refers to a person’s confidence in his/her ability to accomplish tasks (Bandura, 1986). In terms of academic adjustment, individuals with high self-efficacy are typically more willing to learn new behaviors and persist in exhibiting those behaviors, thereby gaining more opportunities for...
feedback (Black & Gregersen, 1991). Self-efficacy has also been shown to relate negatively to strain (Hechanova-Alampay et al., 2002) and positively to adjustment and grades (Hechanova-Alampay et al., 2002; McKenzie & Schweitzer, 2001). Self-efficacy’s role in fostering student success is not limited to academic integration, though; self-efficacy and its affective products play a large part in social adjustment, as well.

**Social integration.** Research has shown that self-efficacy in social situations contributes to self-esteem in general (Sherer, Maddux, Mercandante, Prentice-Dunn, Jacobs, & Rogers, 1982). In turn, higher levels of self-esteem are associated with international student acculturation (Al-Sharideh & Goe, 1998). Either fortunately or unfortunately, self-esteem represents a dynamic attribute that changes across situations (Mruk, 1995). This quality can be detrimental to international students, as many international students experience a loss of social status when the status they enjoyed at home is not recognized in their new environment. This stripping of one’s accustomed social standing, compounded by unfamiliar customs that reduce students’ competency in meeting social and personal needs, results in lowered self-esteem in many international students, and, in turn, lowered acculturation.

Founding their research on these interactions, Al-Sharideh and Goe (1998) scrutinized the effect of social factors on the personal adjustment of international students at an American university. They found that having strong ties to compatriots had a positive effect on self-esteem and weakened the tie between self-esteem and acculturation. That is, participation in ethnic communities within a larger host community seemed to buffer the influence of acculturation on self-esteem. However, striking a balance between home and host culture appeared to be crucial; students who demonstrated extensive and exclusive integration into an ethnic community with minimal participation in the host culture showed a negative pattern in self-esteem. Importantly, ties to Americans independently promoted self-esteem regardless of relationships with coculturals. Therefore, it can be concluded that international students require support from both compatriots and the host culture to achieve maximum acculturation and, thus, academic success, a conclusion reproduced in other research (Li et al., 2010; Rochecouste et al., 2012). Wilcox et al. (2005) also supported this notion in part, observing that study support and social support by family and friends was influential to first-year student academic success, although students who perceived high pressure from their families to succeed academically experienced adverse academic effects. It is also noteworthy that, although social integration is generally perceived as a positive step toward cultural adjustment and, hence, academic success, social adjustment was actually found to have a negative relationship with study performance in one instance; non-Western students in the Netherlands who were more socially adjusted and actively participated in student life were less likely to get good grades (Rienties et al., 2012). Perhaps one Taiwanese doctoral student best rationalized this outlying finding: “If I had to read every book assigned by my professors…and write [assignments] which would only take native-English-speaking students two days but take me five…I would need a lot of time…As for having a good time [with friends], well, just forget it!” (Chang & Kanno, 2010, p. 685).

In general, investigations into both the academic and social integration of international students do much to inform educators of the non-linguistic factors associated with academic success. However, these studies also pose a danger because many of them lump “international students” into one block. At most, a clumsy distinction is made between Western and Asian mentalities, with no regard to the ethnic, cultural, and national differences making up those groups and little attention given to students who belong to neither group. Among studies that did differentiate international student ethnicities, Hechanova-Alampay et al. (2002) found the link between...
cultural novelty and adjustment to be non-significant; still, most studies generally support the notion that Western European ELLs adjust more easily to English, American, Canadian, and Australian cultures than do Asian ELLs (Rienties et al., 2012; Senyshyn et al., 2000).

**Conclusion**

In summary, achieving success in an L2 academic setting demands far more than linguistic competence and subject knowledge. Adapting to a novel learning style and a foreign way of life outside the school setting weighs heavily on international students’ performance. This process requires change at the deepest psychological and personal levels, as recounted by a Chinese student in the UK: “I don’t think I have ever cried in China. But I don’t know how many times I have cried here” (Gu & Schweisfurth, 2006, p. 83). Yet, once students become successful in negotiating their adopted locales, they achieve a newfound sense of self-responsibility, independence, and achievement in managing their study progress and, also, their life’s path (Gu & Schweisfurth, 2006). For the sake of transforming both native and nonnative students into global citizens, then, universities must chart a course for facilitating the linguistic acquisition and cultural adjustment of their international scholars.
References


Person, N. E. (2002). *Assessment of TOEFL scores and ESL classes as criteria for admission to career & technical education and other selected Marshall University graduate programs*. Presented at the 76th Annual Meeting of the Association for Career and Technical Education. (ERIC Document Reproductive Service No. ED473756).


Back to Table of Contents